

01/03/02



JC525 U.S. PTO

OMW No. 0461-0011 (12/31/88)

## PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY'S DOCKET NO.

GML

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TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of Gary J. Mastmanfor COMPUTERIZED METHOD AND SYSTEM FOR FORMULATING STOCK  
PORTFOLIOS

Enclosed are:

☒ 3 sheets of drawing.an assignment of the invention to Smartfolios, Inc.☐ a certified copy of a \_\_\_\_\_ application.☒ associate power of attorney.☒ verified statement to establish small entity status under 37 CFR 1.9 and 1.27.Applicant hereby avers that the assignee of this application,  
Smartfolios, Inc., is a small entity under 37 CFR 1.9 and 1.27

CLAIMS AS FILED

SMALL ENTITY

OTHER THAN A  
SMALL ENTITY

FOR	NO. FILED	NO EXTRA
BASIC FEE		
TOTAL CLAIMS	5 - 20 -	
ADDED CLAIMS	2 - 3 -	
MULTIPLE DEPENDENT CLAIM PRESENT		

RATE	FEE
	\$ 370
X	\$
X \$	\$
+ \$	\$
TOTAL	\$ 370

OR  
OR  
OR  
OR  
OR  
OR

RATE	FEE
	\$
X \$	\$
X \$	\$
+ \$	\$
TOTAL	\$

\* If the difference in col. 1 is less than zero, enter "0" in col. 2

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☒ A duplicate copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 370 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$40 to cover the assignment fee
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☐ Any additional filing fees required under 37 CFR 1.16.☐ Any patent application processing fees under 37 CFR 1.17.

- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.☐ Any patent application processing fees under 37 CFR 1.17.☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.211(h).

10/24/2001

signature

Nathan D. Kallman